

**XAS studies on  $\text{HfO}_2/\text{SiO}_2/\text{Si}$  gate stacks for CMOS applications**

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Beamline(s): X18B

The continuous scaling of semiconductor devices to achieve higher speed, density and computational ability, at lower power consumption and cost has been maintained for more than 30 years. One critical component, the gate dielectric, is now less than 2nm thick in state-of-the-art CMOS devices, but can not be indefinitely scaled down with current materials. Thermally grown  $\text{SiO}_2$  is currently the material of choice for gate dielectrics however it is reaching its fundamental limits primarily due to high leakage currents across the dielectric.

$\text{HfO}_2$  is one of the alternative gate dielectric materials that have been examined during the past few years due to its relatively high resistivity, dielectric constant (16-45)<sup>1,2</sup>, thermal stability and its compatibility with n+ polysilicon as the gate electrode material<sup>3</sup>.

Zhao et.al. recently showed that variations in the crucial dielectric constant of  $\text{HfO}_2$  are crystal phase dependent<sup>4</sup>. Hence the structure of the crystal and electronic structure of  $\text{HfO}_2$  thin films must be studied and correlated with their physical and electrical properties. Accordingly we have employed XANES and EXAFS to study 30 Å – 750 Å  $\text{HfO}_2$  films deposited on 11 Å  $\text{SiO}_x\text{N}_y/\text{Si}(100)$  by CVD.<sup>5</sup> XAS measurements have been performed in the electron yield and fluorescence modes on the NSLS beam line X-18B.

Our preliminary results on the Hf  $L_{2,3}$ -edges in these films system indicate that the 5d related white line (WL) are narrower in energy and weighted to lower energy relative to the bulk oxide. This is consistent with a smaller crystal field splitting and/or narrower 5d-band widths in the films. In addition the XAS fine structure above the Hf- $L_3$  edge evidence a slight increase in the average first shell Hf-O bond length in the thin films compared to bulk material. It is worth noting that the absence of the subtler fine structure features suggests a more disordered higher shell structure in the thin film.

Our XAS measurements and analysis on these and newly fabricated films are on going in conjunction with physical property, electron spectroscopy and first principles band structure.

<sup>1</sup>I. Barin and O. Knacke, *Thermodynamic Properties of Elements and Oxides* (Springer-Verlag, Berlin, 1973).

<sup>2</sup>K. J. Hubbard and D. G. Schlom, "Thermodynamic stability of binary oxides in contact with silicon," J. Mater. Res. **11** (11), 2757-2776 (1996).

<sup>3</sup>S. J. Lee, H. F. Luan, W. P. Bai *et al.*, "High quality ultra thin CVD  $\text{HfO}_2$  gate stack with poly-Si gate electrode," presented at the IEDM Tech. Dig., San Francisco, CA, 2000 (unpublished).

<sup>4</sup>Xinyuan Zhao and David Vanderbilt, "First-principles study of structural, vibrational, and lattice dielectric properties of hafnium oxide," Phys. Rev. B **65** (233106), 4 (2002).

<sup>5</sup>S. Sayan, S. Aravamudhan, B.W. Busch *et al.*, "Chemical Vapor Deposition of  $\text{HfO}_2$  Films on  $\text{Si}(100)$ ," Journal of Vacuum, Science and Technology A **20** (2), 507-512 (2002).